

Roll No. ....

**OLE-57523**

**BBA 3rd Semester (N.S.) 2014-17  
Examination – April, 2021**

**CAPITAL MARKETS**

**Paper : BBAN-303**

*Time : Three Hours ]*

*[ Maximum Marks : 80*

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*Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.*

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**Note :** Attempt *five* questions in all, selecting *one* question from each Units. Question No. **1** is *compulsory*. All questions carry equal marks.

1. Write short notes on the following :
  - (a) Who is called the controller of capital market in India
  - (b) Explain types of capital market
  - (c) Explain the primary market intermediaries
  - (d) Listing of securities

- (e) Functioning of SHCIL
- (f) Differentiate demat and physical share
- (g) Write down the objectives of ICICI bank.
- (h) What are the functions of agricultural and cooperative banks?

### **UNIT – I**

2. Define nature and importance of capital market in India. Explain the role of Indian market regulators also.
3. What are the major instruments of capital market that being traded in market ?

### **UNIT – II**

4. What is secondary market ? What purpose does it serve ? what are the problems and reforms of secondary capital market ?
5. What do you mean by stock market index and what steps has been taken by SEBI to increase liquidity in the stock market ?

### **UNIT – III**

6. Discuss the evolution and growth of Indian dab market. what is the difference between money market and capital market ?
7. Define depositories and its process. How many depositories are there in India, explain ?

### **UNIT – IV**

8. What are the services offered by SIDBI and NABARD ? Discuss the major achievements and developments of these two.
  9. What do you mean by mutual funds ? Explain the types and role of SEBI in mutual funds.
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