

Roll No. :

Total No. of Questions : 9] [Total No. of Pages : 3

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**B.B.A. 3rd Semester
Examination, March-2021
(New Scheme 2014-17)**

**CAPITAL MARKETS
Paper-BBAN-303**

Time : Three Hours] [Maximum Marks : 80

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.

Note :- Attempt five questions in all, selecting at least one question from each Unit of Section B. Question No. 1 is compulsory.

Section-A

1. (a) Explain the meaning of Floating Rate Bonds.
- (b) Explain the Equity Warrants.

(c) What are main three objectives of National Stock Exchange ?

(d) What is listing of securities ?

(e) Give the definition of debt market.

(f) Difference between Demat share and Physical share.

(g) What are the development Banks ?

(h) Explain the features of Mutual Funds. $2 \times 8 = 16$

Section-B

Unit-I

16 each

2. Define capital market and state its main features.

3. Discuss in brief the various capital market instruments.

Unit-II

16 each

4. What do you mean by Primary Capital Market ?

State the functions of primary capital market.

5. Write a note on organisation and management of stock markets in India.

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Unit-III

16 each

6. Explain the term 'depository'. What type of services are provided by depositories ?

7. Who are the participants in debt market ? Discuss the importance of debt market in capital market.

Unit-IV

16 each

8. What do you mean by Development Financial Institutions ? Discuss the role of financial institutions.

9. State the role of the Securities and Exchange Board of India (SEBI) about investors protection.

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